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# Frequency Distribution and Discrimination Probability of Twelve Protein Genetic Variants in Human Blood as Functions of Race, Sex, and Age 

The identification of large numbers of genetic variants for antigens, enzymes, and other proteins, combined with knowledge of their frequencies of occurrence in the population, enhances the ability to detect the individuality of a given blood specimen. Furthermore, the greater the number of variant protein systems considered, the greater is the likelihood of discriminating between individuals. As new techniques become available, forensic scientists will have increasing need for statistical data to use in attempting to establish the individuality of a blood specimen.

The probability always exists that two randomly selected blood samples are identical with respect to a series of genetic phenotypes by chance alone. This probability is often called the matching probability and will be denoted by $P$. The quantity $1-P$ is often called the discrimination probability. The quantity $P$ is typically calculated by multiplying together the probabilities based on the frequency of a series of genetic variants; that is, $P=\left[P_{1} P_{2} P_{3} \cdots P_{n}\right]$, where $P_{i}$ is the sum of the squared phenotypic frequencies of each variant [1]:

$$
\begin{equation*}
P_{i}=\sum_{j=1}^{k} p_{j}^{2} \tag{1}
\end{equation*}
$$

where $k$ is the number of different phenotypes within the $i$ th blood group system and $p_{j}$ is the phenotypic frequency of the $j$ th phenotype.
The magnitude of $P$ is often of critical importance. Equally important is the validity of the process that produces the discrimination probability. Three assumptions intrinsic to the calculation of $P$ were investigated for a set of twelve protein genetic variants for four ethnic groups (white, black, Chicano/Amerindian, and Asian). The twelve genetic variants

[^0]TABLE 1-Genetic systems investigated.

| Variant | Abbreviation | Total Number | Substrate | Sample Size, $\mu \mathrm{I}$ | Completion Time, min | Method of Visualization | Method Reference |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Autosomal, by blood typing |  |  |  |  |  |  |  |
| ABO-system | ABO | 11678 | . . $\cdot$ |  | . . . |  |  |
| Rhesus-system | Rh | 11676 |  |  | . . | . . . | -•• |
| Autosomal, by electrophoresis |  |  |  |  |  |  |  |
| Phosphoglucomutase | PGM | 11626 | cellulose acetate | 0.50 | 60 | formazan | 6 |
| Adenylate kinase | AK | 10312 | cellulose acetate | 0.25 | 30 | formazan | 4 |
| Adenosine deaminase | ADA | 9891 | cellulose acetate | 0.25 | 30 | formazan | 4 |
| Erythrocyte acid phosphatase | EAP | 9547 | cellulose acetate | 0.50-1.0 | 40 | $4 \mathrm{MUP}^{\alpha}$ | 7 |
| Esterase D | EsD | 10959 | cellulose acetate | 1.0 | 30 | $4 \mathrm{MUA}^{\text {b }}$ | 8 |
| Hemoglobin | Hb | 11678 | cellulose acetate | 0.25 | 20 | $O$-dianisidine | 3 and 4 |
| Haptoglobin | Hp | 935 | acrylamide gel | 1.0-3.0 | 180 | O-dianisidine | 9 |
| Gc system | Gc | 9775 | cellulose acetate | 0.25 | 40 | $\mathrm{IMF}^{\text {c }}+$ Ponceau | 10 |
| 6-Phosphogluconate dehydrogenase | PGD | 9647 | cellulose acetate | 0.50 | 30 | formazan | 4 |
| Sex-Linked |  |  |  |  |  |  |  |
| Glucose-6-phosphate dehydrogenase | G-6-PD | 8650 male, 2834 female | cellulose acetate | 0.25 | 30 | formazan | 11 |

[^1]${ }^{b} 4$-Methyl umbelliferyl acetate. The zones are visualized as the fluorescence of 4 -methyl umbelliferone.
are listed in Table 1 along with the total number of individual determinations. The three assumptions are as follows:

1. The phenotypic frequencies are the same in both males and females.
2. The phenotypic frequencies do not vary with age of the donor.
3. The phenotypic frequencies are statistically independent, since the multiplication of probabilities used in calculating the discrimination probability $P$ is only valid for independent frequencies.

Verification of these three assumptions permits accurate estimation of twelve phenotypic and gene frequencies for four ethnic groups when all possible data are used. These frequencies are then used to determine the discrimination probability.

## Sample Collection

Within the past year, this laboratory has undertaken a large-scale study, funded by the California Office of Criminal Justice Planning, to establish statistical data on a number of genetic variants in human blood. Fresh blood specimens from donors were provided by blood banks in sealed segments from blood bags. The segments were identified by a code to protect the anonymity of the donors. Information concerning the age, sex, ethnic group, and ABO and Rh blood types of the donor as determined by the blood bank accompanied each sample.
All blood samples were to be collected within California; however, within a few months it was found that some of the ethnic subgroups were infrequent blood donors. It became apparent that a statistically valid sampling of blood from Asians, particularly females, could not be obtained from California blood banks within a reasonable time period. Consequently, samples from Asian donors were obtained from the Blood Bank of Hawaii in Honolulu to supplement those from California blood banks.

## Method

The contributing blood banks typed each sample for the ABO system and for positive or negative Rh. This laboratory then analyzed each sample for up to ten additional genetic variants (Table 1), utilizing a standardized electrophoretic technology that has been under development here for several years [2-4].

All analytical methods, except for determination of haptoglobin ( Hp ), utilized cellulose acetate membranes as a substrate in the electrophoretic procedures. Each method required 20 to 60 min for completion.
Acrylamide gel was used as the substrate for Hp electrophoresis. Determination of Hp with acrylamide gel as a substrate required 180 min for completion. The method is also relatively expensive, and it was primarily for this reason that fewer Hp determinations were carried out.

## Results

Basic to the estimation of phenotypic or gene frequencies is the assumption that these frequencies do not differ between males and females for autosomal genetic systems. The present study afforded the opportunity to test this assumption for the eleven autosomal genetic systems examined.
The usual $\chi^{2}$ test for homogeneity was used [5]. Only the analysis of the ABO system among whites is given in detail in Table 2. The significance probability greater than 0.05 indicates that there is no difference in ABO frequencies between males and females. The other 43 sex analyses are summarized in Table 3 and show no association between sex

TABLE 2-Analysis of ABO system among whites. The expected numbers are in parentheses. $\chi^{2}=6.97$; degrees of freedom $=3$; and significance probability $=0.073$.

|  | Phenotype |  |  |  |  |
| :--- | :--- | :---: | :---: | :---: | :---: |
| Sex | A | B | AB | O | Total |
| Male | $1439(1400.0)$ | $472(471.3)$ | $161(159.4)$ | $1836(1881.8)$ | 3908 |
| Female | $712(750.9)$ | $252(252.7)$ | $77(83.1)$ | $1055(1009.3)$ | 2096 |
| Total | 2151 | 724 | 238 | 2891 | 6004 |

TABLE 3-Significance levels of $\chi^{2}$ tests conducted to test for differences between phenotypic frequencies in males and females for four ethnic groups.

|  |  |  | Chicano/ <br> Amerindian <br> $P$ | Asian <br> $P$ Value |
| :--- | :---: | :---: | :---: | :---: |
| Genetic Variant | White $P$ Value | Black <br> $P$ Value | 0.753 | 0.118 |
| ABO | 0.073 | 0.735 | 0.953 | 0.193 |
| Rh | 0.394 | 0.626 | 0.360 | 0.977 |
| PGM | 0.904 | 0.963 | 0.094 | 0.970 |
| AK | 0.624 | 0.693 | 0.081 | 0.146 |
| ADA | 0.332 | 0.893 | 0.387 | 0.753 |
| EAP | 0.904 | 0.779 | 0.813 | 0.546 |
| EsD | 0.567 | $\ldots$. | $\ldots$ | $\ldots$ |
| G-6-PD | $\ldots .995$ | 0.733 | 0.529 | 0.999 |
| Hb | 0.456 | 0.476 | 0.876 | 0.393 |
| Hp | 0.653 | 0.554 | 0.421 | 0.068 |
| Gc | 0.497 | 0.570 | 0.717 | 0.778 |
| PGD |  |  |  |  |

${ }^{a}$ Sex-linked, therefore known to differ.
and phenotype. Since no systematic difference exists between males and females, the data may be combined to yield estimates with increased precision.
On the other hand, glucose-6-phosphate dehydrogenase (G-6-PD) is a sex-linked variant and is expected to have different phenotypic frequencies between males and females which contribute differently to the computation of the discrimination probability.

Like the sex of the individuals sampled, the relationship between age and phenotypic frequencies is also of potential importance in estimating valid proportions of genetic variants. If the age distribution of a population affects the distribution of phenotypic frequencies, then estimates made from combining all age groups will not have a clear-cut meaning. Although it is not expected that age would be highly associated with changes in phenotypic frequencies, it is possible that small effects could be detected with the large number of individuals in the present data set. The small effects might come, for example, from different degrees of racial admixture that depend on age within the four ethnic groups recorded, or, perhaps, the effects of differential migration by age could cause phenotypic frequencies to differ with age. In order to investigate the possible relationship between age and phenotypic frequency the mean age of the donors was computed for each phenotype within a system for each of the four ethnic groups. The mean values were compared by an analysis of variance (one-way classification) to test for possible differences in age associated with specific phenotypes. Again, only the ABO system for whites is given in detail (Tables 4 and 5 ). The frequency $f$ value being equal to 1.85 implies that the differences in mean age are not statistically different. The significance probability is equal to

TABLE 4-Analysis of ABO system among whites.

| Phenotypes | Mean Age | Standard Deviation | Sample Size $^{a}$ |
| :---: | :---: | :---: | :---: |
| A | 36.67 | 12.25 | 2147 |
| B | 37.14 | 11.97 | 724 |
| AB | 35.34 | 12.38 | 238 |
| O | 36.25 | 12.35 | 2887 |

${ }^{a}$ There were eight cases without age recorded.

TABLE 5-Analysis of variance for ABO system among whites.

| Source | Degrees of Freedom | Mean Square | $f$ |
| :--- | :---: | :---: | :---: |
| Between phenotypes | 3 | 278.91 | 1.85 |
| Within phenotypes | 5992 | 150.49 | $\cdots$ |
| Total | 5992 | $\cdots$ | $\cdots$ |

0.136. Inasmuch as it is higher than 0.05 , it may be concluded that age is not a factor in determining phenotypic frequencies for the ABO system among whites.

The other 51 age analyses are summarized by reporting only the significance probabilities in Table 6. Even with the resolution power of this data set, no consistent relationship between age and phenotypic frequencies emerges.

Since the data collected showed little evidence of an effect from sex or age of the individual on the phenotypic frequencies, the data were combined to give a series of estimates of phenotypic frequencies, as shown in Table 7. Also included are the maximum likelihood estimates of the gene frequencies.

Some variants showed sizable differences in phenotypic frequencies among the four ethnic groups (for example, the $\mathbf{R h}$ system) and other frequencies show less notable differences (such as phosphoglucomutase). Nevertheless, all differences were highly statistically significant $(P<0.001)$ for the twelve genetic variants. Even the small differences, as in

TABLE 6-The significance probabilities associated with a one-way analysis of variance for mean age assorted into genetic variant classes for four ethnic groups.

|  | White <br> $P$ Value | Black <br> $P$ Value | Chicano/ <br> Amerindian <br> $P$ Value | Asian <br> $P$ Value |
| :--- | :---: | :---: | :---: | :---: |
| Genetic Variant | 0.136 | 0.614 | 0.088 | 0.176 |
| ABO | 0.544 | 0.103 | 0.131 | 0.560 |
| Rh | 0.418 | 0.083 | 0.228 | 0.118 |
| PGM | 0.228 | 0.261 | 0.375 | 0.227 |
| AK | 0.081 | 0.403 | 0.384 | 0.511 |
| ADA | 0.097 | 0.340 | 0.483 | 0.055 |
| EAP | 0.570 | 0.209 | 0.928 | 0.934 |
| EsD | 0.110 | 0.217 | 0.923 | 0.790 |
| G-6-PD (male) | 0.456 | 0.916 | 0.969 | 0.060 |
| G-6-PD (female) | 0.839 | 0.275 | 0.862 | 0.585 |
| Hb | 0.544 | 0.319 | 0.754 | 0.111 |
| Hp | 0.382 | 0.077 | 0.083 | 0.545 |
| Gc | 0.558 | 0.636 | 0.882 | 0.277 |
| PGD |  |  |  |  |

TABLE 7-Phenotypic frequencies (percent) and gene frequencies of twelve blood group variants for four ethnic categories.

| ABO | A | B | AB | 0 | Raxe | $\begin{gathered} \text { Gene } \\ \text { A } \end{gathered}$ | Gene B | Gene 0 | $n$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| White | 35.8 | 12.1 | 4.0 | 48.2 | 0.0 | 0.224 | 0.083 | 0.692 | 6004 |
| Black | 25.9 | 21.5 | 5.0 | 47.7 | 0.0 | 0.168 | 0.142 | 0.690 | 1025 |
| Chicano/Amerindian | 30.9 | 10.8 | 1.9 | 56.5 | 0.0 | 0.180 | 0.065 | 0.755 | 1596 |
| Asian | 37.8 | 21.0 | 8.8 | 32.5 | 0.0 | 0.338 | 0.068 | 0.594 | 3053 |
|  |  |  |  | Gene | Gene |  |  |  |  |
| Rhesus | Rh ${ }^{+}$ | $\mathrm{Rh}^{-}$ | Rare | $R h^{+}$ | $R h^{-}$ | $n$ |  |  |  |
| White | 79.2 | 20.8 | 0.0 | 0.543 | 0.457 | 6004 |  |  |  |
| Black | 92.6 | 7.4 | 0.0 | 0.728 | 0.272 | 1024 |  |  |  |
| Chicano/Amerindian | 91.9 | 8.1 | 0.0 | 0.716 | 0.284 | 1596 |  |  |  |
| Asian | 99.0 | 1.0 | 0.0 | 0.903 | 0.097 | 3052 |  |  |  |
| PGM | 1-1 | 1-2 | 2-2 | Rare | PGM ${ }^{1}$ | PGM ${ }^{2}$ | $n$ |  |  |
| White | 58.9 | 35.6 | 5.4 | 0.1 | 0.768 | 0.232 | 5972 |  |  |
| Black | 66.2 | 29.5 | 4.0 | 0.3 | 0.812 | 0.188 | 1024 |  |  |
| Chicano/Amerindian | 58.7 | 34.7 | 6.2 | 0.4 | 0.764 | 0.236 | 1586 |  |  |
| Asian | 59.0 | 35.0 | 5.6 | 0.4 | 0.769 | 0.231 | 3044 |  |  |
| AK | 1-1 | 1-2 | 2-2 | Rare | $\mathrm{AK}^{1}$ | $\mathrm{AK}^{2}$ | $n$ |  |  |
| White | 92.7 | 7.1 | 0.1 | 0.1 | 0.963 | 0.037 | 5969 |  |  |
| Black | 98.4 | 1.6 | 0 | 0 | 0.992 | 0.008 | 965 |  |  |
| Chicano/Amerindian | 95.6 | 4.3 | 0.1 | 0 | 0.978 | 0.022 | 1344 |  |  |
| Asian | 99.8 | 0.2 | 0 | 0 | 0.999 | 0.001 | 2304 |  |  |
| ADA | 1-1 | 1.2 | 2-2 | Rare | ADA ${ }^{1}$ | $\mathrm{ADA}^{2}$ | $n$ |  |  |
| White | 90.0 | 9.8 | 0.2 | 0 | 0.949 | 0.051 | 5883 |  |  |
| Black | 97.8 | 2.2 | 0 | 0 | 0.898 | 0.011 | 927 |  |  |
| Chicano/Amerindian | 93.8 | 5.9 | 0.3 | 0 | 0.964 | 0.036 | 1260 |  |  |
| Asian | 95.2 | 4.6 | 0.2 | 0 | 0.975 | 0.025 | 1821 |  |  |
| EAP | AA | BA | BB | CA | CB | CC | Rare |  |  |
| White | 10.8 | 42.1 | 39.3 | 3.3 | 4.3 | 0.2 | 0.0 |  |  |
| Black | 5.6 | 31.4 | 60.2 | 0.2 | 1.3 | 0.1 | 1.1 |  |  |
| Chicano/Amerindian | 6.7 | 35.8 | 53.5 | 1.6 | 2.2 | 0 | 0.2 |  |  |
| Asian | 5.2 | 35.6 | 59.2 | 0.0 | 0 | 0 | 0 |  |  |
|  |  | Gene | Gene | Gene |  |  |  |  |  |
| EAP |  | A | B | C | $n$ |  |  |  |  |
| White |  | 0.332 | 0.630 | 0.038 | 4850 |  |  |  |  |
| Black |  | 0.217 | 0.776 | 0.008 | 875 |  |  |  |  |
| Chicano/Amerindian |  | 0.254 | 0.726 | 0.019 | 1360 |  |  |  |  |
| Asian |  | 0.230 | 0.770 | 0.000 | 2462 |  |  |  |  |
| EsD | 1-1 | 1-2 | 2-2 | Rare | EsD ${ }^{1}$ | EsD ${ }^{2}$ | $n$ |  |  |
| White | 79.5 | 19.3 | 1.2 | 0.0 | 0.892 | 0.108 | 5377 |  |  |
| Black | 83.6 | 16.0 | 0.4 | 0 | 0.916 | 0.084 | 973 |  |  |
| Chicano/Amerindian | 73.9 | 23.8 | 2.3 | 0 | 0.858 | 0.142 | 1580 |  |  |
| Asian | 41.6 | 44.2 | 14.2 | 0 | 0.637 | 0.363 | 3029 |  |  |
|  |  |  |  |  | Gene | Gene |  |  |  |
| G-6-PD (male) | B | A | $\mathrm{A}^{-}$ | Rare | B | A | $n$ |  |  |
| White | 99.5 | 0.4 | 0 | 0.1 | 0.995 | 0.005 | 3845 |  |  |
| Black | 73.0 | 19.4 | 7.3 | 0.3 | 0.730 | 0.270 | 896 |  |  |
| Chicano/Amerindian | 97.6 | 1.7 | 0.3 | 0.4 | 0.976 | 0.024 | 1229 |  |  |
| Asian | 98.9 | 0.1 | 0.0 | 0.9 | 0.989 | 0.011 | 2680 |  |  |
|  |  |  |  |  |  | Gene | Gene |  |  |
| G-6-PD (female) | B | A | AB | $\mathrm{A}^{-}$ | Rare | B | A | $n$ |  |
| White | 99.6 | 0.1 | 0.3 | 0 | 0 | 0.997 | 0.003 | 2071 |  |
| Black | 63.1 | 9.9 | 23.4 | 0 | 1.8 | 0.776 | 0.224 | 111 |  |
| Chicano/Amerindian | 97.1 | 0.3 | 0.3 | 1.8 | 2.3 | 0.995 | 0.005 | 310 |  |
| Asian | 99.4 | 0 | 0.3 | 0 | 0.3 | 0.998 | 0.002 | 342 |  |
|  |  |  |  |  | Gene <br> A | Gene $S$ |  |  |  |
| ${ }_{\text {White }}$ | $\stackrel{\text { A }}{99}$ | AS 0.2 | ${ }_{0}^{\text {AC }}$ | Rare 0.0 | A 0.999 | $\underset{0.001}{S}$ | $n$ 6004 |  |  |
| Black | 89.3 | 8.6 | 1.8 | 0.4 | 0.956 | 0.044 | 1025 |  |  |
| Chicano/Amerindian | 99.6 | 0.1 | 0.2 | 0.1 | 0.999 | 0.001 | 1596 |  |  |
| Asian | 99.9 | 0.0 | 0.0 | 0.1 | 0.999 | 0.001 | 3053 |  |  |

TABLE 7-Continued

| Hp | 1-1 | 1-2 | 2-2 | Rare | Hp ${ }^{1}$ | $\mathrm{Hp}^{2}$ | $n$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| White | 14.6 | 49.3 | 36.1 | 0 | 0.392 | 0.608 | 274 |
| Black | 33.9 | 41.9 | 21.0 | 3.2 | 0.567 | 0.433 | 124 |
| Chicano/Amerindian | 21.7 | 55.9 | 22.4 | 0 | 0.497 | 0.503 | 161 |
| Asian | 7.7 | 37.8 | 53.5 | 1.1 | 0.269 | 0.731 | 376 |
| Gc system | 1-1 | 1-2 | 2-2 | Rare | $\mathrm{Gc}^{1}$ | $\mathrm{Gc}^{2}$ | $n$ |
| White | 50.2 | 41.0 | 8.5 | 0.4 | 0.710 | 0.290 | 4488 |
| Black | 74.5 | 21.0 | 2.0 | 2.4 | 0.871 | 0.129 | 832 |
| Chicano/Amerindian | 59.1 | 35.3 | 5.2 | 0.5 | 0.771 | 0.229 | 1417 |
| Asian | 50.4 | 39.2 | 6.4 | 4.0 | 0.729 | 0.271 | 3043 |
|  |  |  |  | Gene | Gene |  |  |
| PGD | A | AC | Rare | $A$ | C | $n$ |  |
| White | 96.2 | 3.7 | 0.0 | 0.981 | 0.019 | 4472 |  |
| Black | 92.6 | 7.2 | 0.4 | 0.964 | 0.036 | 787 |  |
| Chicano/Amerindian | 94.6 | 5.2 | 0.1 | 0.974 | 0.026 | 1494 |  |
| Asian | 86.8 | 12.6 | 0.6 | 0.937 | 0.063 | 2894 |  |

${ }^{a} 0=$ no cases and $0.0=$ less than $0.1 \%$.
the case of the adenosine deaminase (ADA) system (maximum difference less than 7.1\%), were statistically significant because of the high resolution power of the data set employed. The difference in phenotypic frequencies means that the computation of the discrimination probabilities must be carried out separately for each ethnic group.

As mentioned before, the valid computation of the discrimination probability assumes that the genetic systems are statistically independent. All possible comparisons of pairs of the 13 genetic systems (including the sex-linked G-6-PD for males and females) were tested for independence. This process produced 77 contingency tables for each of the four ethnic groups. Each of the 308 tables was evaluated with a $\chi^{2}$ test for independence and a contingency coefficient. A contingency coefficient is a measure parallel to a correlation coefficient that summarizes the degree of association in a table ( $C=0$ implies perfect independence and $C=1$ implies perfect dependence). Once again the ABO system among whites has been used to demonstrate the analysis employed (Table 8).

The other 307 analyses are summarized in Table 9, where only the contingency coefficients and the significant probabilities are reported. No consistent associations were demonstrated. These few showed some degree of association, but these differences were not consistent for all four ethnic groups. In light of the large amount of data available and the lack of consistency of the few significant associations found, it may be concluded that these 13 genetic variants behave statistically independently. The group specific component (Gc) showed significant association in five situations. The Gc system is associated with

TABLE 8-Analysis of the EsD-ABO pair among whites. Expected numbers [5] are in parentheses. Contingency coefficient $=0.041$; degrees of freedom $=6 ; \chi^{2}=9.20$; and significance probability $=0.162$.

|  | Phenotype |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | ---: |
| EsD | A | B | AB | O | Total |  |
| EsD 1-2 | $1453(1547.5)$ | $496(517.7)$ | $181(171.0)$ | $2055(2038.9)$ | 4275 |  |
| EsD 1-2 | $382(375.7)$ | $148(125.7)$ | $32(41.5)$ | $476(495.1)$ | 1038 |  |
| EsD 2-2 | $21(22.8)$ | $7(7.6)$ | $2(2.5)$ | $33(30.0)$ | 63 |  |
| Total | 1946 | 651 | 215 | 2564 | 5376 |  |

TABLE 9-Contingency coefficient and $\chi^{2}$ significance probability reflecting degree of association (disequilibrium) among all possible pairs of 13 genetic variants ( $^{*}=0.01<\mathrm{P}<0.05 ;{ }^{* *}=$ $0.01<\mathrm{P}<0.001 ;$ and $^{* * *}=\mathrm{P}<0.001$ ).

|  |  | Race | Contingency <br> Coefficient | Significance <br> Probability |
| :--- | :--- | :---: | :--- | :--- |
| Variant Pair | Rajor Association |  |  |  |

erythrocyte acid phosphatase (EAP) systems for both whites and Chicano/Amerindians, the ABO system in Chicano/Amerindians, and the PGD system in both blacks and Asians. Nevertheless, the contingency coefficients are rather small and no substantial error would be incurred by assuming the Gc system to be statistically independent from the other eleven systems investigated.

## Discussion

Since each of 308 pairs of genetic variants has been shown to be statistically independent, the phenotypic frequencies in Table 7 may be multiplied together to give an estimate of the discrimination probability.

Table 10 shows the probabilities that two randomly selected males have identical phenotypes for the four ethnic groups. Also included is the overall probability that two random males have the same phenotypes for all twelve genetic variants. The value of $P$ varies slightly for the four ethnic categories. The same calculation for females yields virtually the same results (Table 11), the only difference being the use of the sex-linked G-6-PD system.

Clearly, the probability that two randomly selected individuals have the same phenotype for a given genetic system is a function of the frequency of that phenotype in the general population. For example, if all individuals in the general population have the same phenotype, the probability that any two are phenotypically identical is 1.0 . On the other hand, there exists a theoretical minimum probability that two randomly selected individuals will be identical for a specific phenotype. This probability occurs when all phenotypes for a specific genetic system are equally frequent in the population. Mathematically, this probability is expressed as $1 / k$, where $k$ represents the number of variants within a genetic system. These theoretical minimum probabilities are given in Table 10.

The theoretical minimum is not achieved in reality but does give some idea of the efficacy of various genetic systems in discriminating between two randomly selected individuals. That is, a probability of 1.0 represents the worst possible situation for the purposes of

TABLE 10-The probabilities that two randomly selected male individuals have the same phenotypes for four ethnic groups.

| System | White | Black | Chicano/ <br> Amerindian | Asian | Theoretical <br> Minimum |
| :--- | :---: | :---: | :---: | :---: | :---: |
| ABO | 0.377 | 0.343 | 0.426 | 0.330 | 0.250 |
| Rh | 0.670 | 0.863 | 0.851 | 0.980 | 0.500 |
| PGM | 0.477 | 0.527 | 0.469 | 0.474 | 0.333 |
| AK | 0.864 | 0.969 | 0.916 | 0.996 | 0.333 |
| ADA | 0.820 | 0.957 | 0.883 | 0.908 | 0.333 |
| EAP | 0.346 | 0.464 | 0.419 | 0.480 | 0.167 |
| EsD | 0.632 | 0.725 | 0.603 | 0.388 | 0.333 |
| G-6-PD (male) | 0.990 | 0.576 | 0.953 | 0.978 | 0.500 |
| Hb | 0.996 | 0.805 | 0.992 | 0.998 | 0.333 |
| Hp | 0.395 | 0.335 | 0.410 | 0.435 | 0.333 |
| Gc | 0.427 | 0.600 | 0.477 | 0.413 | 0.333 |
| PGD | 0.927 | 0.862 | 0.898 | 0.793 | 0.333 |
| $\boldsymbol{P}$ (identical) $=P$ | 0.0029 | 0.0039 | 0.0058 | 0.0036 | $\cdots$ |
| $\boldsymbol{P}$ (not identical) $=1-P$ | 0.9971 | 0.9961 | 0.9942 | 0.9964 | $\cdots$ |

TABLE 11-The probabilities that two randomly selected female individuals have the same phenotypes for four ethnic groups (that is, $\mathrm{P}_{\mathrm{i}}$ ).

|  | White | Black | Chicano/ <br> Amerindian | Asian |
| :--- | :--- | :--- | :--- | :--- |
| G-6-PD (female) | 0.992 | 0.463 | 0.943 | 0.988 |
| $\boldsymbol{P}$ (identical) $\boldsymbol{P}$ | 0.0029 | 0.0068 | 0.0061 | 0.0036 |
| $\boldsymbol{P}$ (not identical) $=1-P$ | 0.9971 | 0.9932 | 0.9939 | 0.9964 |

discrimination. As the observed phenotypic frequency approaches the theoretical minimum the efficacy of the system for discrimination approaches maximum utility; that is, a genetic system with variant frequencies $1 / k$ is the best possible discriminator. For example, the Gc system is fairly effective ( $P_{10}=0.431$ compared to the theoretical minimum of 0.333 for whites), whereas the hemoglobin ( Hb ) system is not effective in discrimination ( $P_{8}=$ 0.996 versus the theoretical minimum of 0.333 for whites).

It should be noted that the overall ability to discriminate always increases with each additional genetic system employed. The twelve genetic systems used here yield the specific discrimination probabilities given in Tables 10 and 11 . The addition of other genetic systems will improve the overall discrimination probability, particularly if genetic systems with variant frequencies close to the theoretical minimum of $1 / k$ are chosen.
The discrimination probabilities derived here strictly apply only in California for whites, blacks, and Chicano/Amerindians, and in Hawaii for Asians, since these were the sampled populations. However, it is likely that these results generalize to most of the United States population with minor variation. This minor variation stems from the fact that samples from other parts of the United States may have phenotypic frequencies that are slightly different for the four major California-Hawaii groups. However, the broad demonstration that phenotypic frequencies do not significantly vary with age or sex but do vary with ethnicity, as well as the demonstration of statistical independence among different genetic systems, will undoubtedly apply in a great variety of situations.
Up to this point the discussion has focused on the discrimination probability $1-P$,
which is a relative measure of how well a set of genetic systems discriminates between two randomly chosen individuals. An equally important quantity is the probability that a randomly chosen individual of a given ethnic group possesses the same blood phenotypes as found in a predetermined sample of blood. This probability is simply the product of the phenotypic frequencies of the phenotypes considered in the predetermined sample and will be called the probability of coincidence (denoted by $C$ ). Specifically, if $p_{1}$ is the frequency of phenotype $1, p_{2}$ is the frequency of phenotype 2 , and $p_{3}$ is the frequency of phenotype 3 , then the probability that a randomly chosen person possesses these three phenotypes is $C=p_{1} p_{2} p_{3}$. In general, the probability of matching a set of predetermined phenotypes is $C=p_{1} p_{2} \cdots p_{n}$, where $p_{i}$ is the phenotypic frequency of each variant for a set of $n$ systems (see Eq 1).
The quantity $1-C$ is the probability that an individual does not match the given set of genetic variants and is sometimes called the probability of exclusion.
The data in Table 7 can be used to calculate the probability $C$ for any given set of the twelve genetic systems considered for any given ethnic group. For example, the probability that a randomly chosen white male from California matches the most common set of phenotypes among whites is computed as follows: for type O (ABO system), positive ( Rh system), 1-1 (PGM system), and so forth, $p_{1}$ is then the frequency of type O ( 0.482 ), $p_{2}$ is the frequency of $\mathrm{Rh}^{+}(0.792), p_{3}$ is the frequency of PGM 1-1 ( 0.589 ), and so forth, and $C=p_{1} p_{2} p_{3} \cdots p_{12}=(0.482)(0.792)(0.589) \cdots(0.962)=0.015$.
In an investigation, when it is desired to know the probability that a randomly chosen person will or will not match a blood sample obtained in connection with a civil or criminal case, the process is the same. The probability that a random individual matches all the genetic variants found in a specific blood sample is the product of the phenotypic frequencies associated with each genetic variant in the given blood sample. It should be noted that this probability of matching applies only to the population for which the phenotypic frequencies $p_{i}$ were estimated.

The fact that there is considerable difference among the phenotypic frequencies for the four ethnic groups studied here raises the possibility that the race of an individual may be predicted from a sample of blood. This question is under investigation and will be reported later.
It is not generally possible to apply the probability of coincidence to reflect on the guilt or innocence of a person in a courtroom setting. A defendant is not usually chosen at random with respect to phenotype since a trial is, in many cases, conditional on the outcome of a blood test. A trial will be conducted usually when the accused does match the given blood sample. A person would rarely stand trial if a genetic exclusion can be demonstrated. The process of excluding those individuals who do not match, and sending to trial only those who do match with respect to a series of genetic variants, means that most courtroom situations represent a selected (and therefore not random) population and the probability of coincidence no longer applies.

## Summary

Fresh blood samples were obtained from 6004 whites, 1025 blacks, 1596 Chicano/ Amerindians, and 3053 Asians of California and Hawaii. The samples were typed for ABO and Rh groups and were analyzed electrophoretically for ten genetically determined protein variant systems. The effects of race, age, and sex on phenotypic frequencies within each of the twelve genetic systems were investigated. Large frequency differences were found between races but not between different age and sex subgroups within races. It was also demonstrated that the twelve genetic systems behaved statistically independently. Discrimination probabilities were computed for each of the four ethnic groups. These serve as a measure of the effectiveness of the twelve genetic systems examined in individualizing
blood samples. The method is discussed for computing the probability that a randomly chosen individual of a given ethnic group possesses the same blood phenotypes as found in a predetermined sample of blood. The results presented here should prove useful in the investigation of civil and criminal cases involving blood samples.

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(4) Doctor's Blood Bank, Terminal Annex, Los Angeles, Calif.;
(5) The American National Red Cross, Central California Red Cross Blood Center, San

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(6) Blood Bank of Hawaii, Honolulu, Hawaii.

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[^1]:    ${ }^{a}$ 4-Methyl umbelliferyl phosphate. The zones are visualized as the fluorescence of 4 -methyl umbelliferone.

